

Ensuring Compliance with Fixed Income Fair Pricing Rules

Additional Resources

FINRA

- [2023 Report on FINRA's Examination and Risk Monitoring Program](#)
- [Fixed Income Fair Pricing Section - New for 2023](#)

BONDWAVE'S SOLUTIONS:

- [Market Calculator](#)
- [Trade Oversight](#)

RELATED ARTICLES:

- [Prevailing Market Price: VWAP for Bonds?](#)
- [BondWave's Mark-Up Disclosure Rule Checklist](#)
- [BondWave Launches PMP Waterfall Solution](#)
- [Prevailing Market Price Lessons Learned](#)

ABOUT BONDWAVE LLC

Founded in 2001, BondWave®, an affiliate of First Trust Portfolios L.P., is a financial technology firm specializing in fixed income solutions. We serve a wide range of users including traders, compliance professionals, and RIAs from the smallest to the largest firms in the industry who use our tools to provide a superior fixed income experience to their clients while supporting critical regulatory mandates.

Effi®, our Engine for Fixed Income, is the single platform through which we deliver all our solutions providing intuitive dashboards and insights into every fixed income position and transaction. Capabilities include portfolio analytics and reporting, custom alerts, and proposal generation, as well as tools that support best execution, fair pricing, and mark-up monitoring and disclosure on both a pre- and post-trade basis. BondWave leverages advanced technologies and data science to develop proprietary data sets that fuel our innovative solutions.